

PTOL-413A (08-03)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 09 / 746,176 First Named Applicant: Morrison
Examiner: Marissa Thein Art Unit: 3625 Status of Application: First OA

Tentative Participants:

(1) Gerald Glanzman (2) _____
(3) _____ (4) _____

Proposed Date of Interview: 03/23/04 Proposed Time: 1:30 CT (AM/PM) 2:30

Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☐ NO

If yes, provide brief description: _____

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>102 Rej.</u>	<u>1, 9-12, 15-18, 25-28, 31-33, 41-44 and 47-48</u>	<u>Carlton-Fass</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>103 Rej.</u>	<u>2-8, 18-24, 34-40</u>	<u>Carlton-Fass</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

U.S. Patent No. 6,642,373 does not appear to disclose steps
2 and 3 of Claim 1. The reference appears to disclose only
bidding on one item at a time

An interview was conducted on the above-identified application on _____.

NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

[Signature]
(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form in the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**Carstens,
Yee &
Cahoon, L.L.P.**

13760 Noel Road
Suite 900
Dallas, Texas 75240

Main No. (972) 367-2001
Facsimile (972) 367-2002

Facsimile Cover Sheet

To: Commissioner for Patents for Examiner Maria Teresa T. Thein Group Art Unit 3625	Facsimile No.: 703/746-9169
From: Michele Morrow Legal Assistant to Gerald Glanzman	No. of Pages Including Cover Sheet: 2
<p>Message:</p> <p>Enclosed herewith:</p> <ul style="list-style-type: none">• Applicant Initiated Interview Request Form. <p>Should you need anything else, please do not hesitate to give us a call.</p>	
<p>Re: Application No. 09/746,176 Attorney Docket No: AUS920000765US1</p>	
<p>Date: Wednesday, March 17, 2004</p>	
<p>Please contact us at (972) 367-2001 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.</p>	<p><i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i></p>